



ORIGINAL FILED

Docket: 14484

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Notice
Inventor:

Michael R. Klardie

Appln. No.: 10/099,930

Filing Date: March 13, 2002

Title: IMPRESSION CAP

Examiner: Not Yet Known

Group Art Unit: 3732

**FILING OF MISSING PARTS
OF APPLICATION UNDER 37 CFR 1.53(f)**

Box **MISSING PARTS**
Commissioner for Patents
Washington, D.C. 20231

I hereby certify that this document is being sent via First Class U. S.
mail addressed to: Commissioner for Patents, Washington, D.C.
20231 on this 5 day of September, 2002.

KrisAnne Popovits
KrisAnne Popovits

Dear Sir:

In response to the Notice To File Missing Parts of Application--Filing Date Granted, mailed on May 8, 2002, pursuant to 37 CFR 1.53(f), the following documents are enclosed:

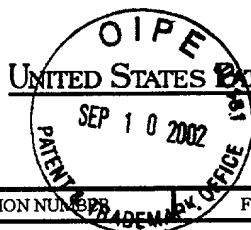
1. Required copy of the Notice to File Missing Parts of Application (2 pages);
2. Preliminary Amendment (3 pages);
3. Executed Declaration (2 pages);
4. Letter Submitting Substitute Drawings (1 page);
5. Drawings, FIGS. 1-8, 8A, 9-24, 24A, 25-32 (16 pages);
6. Petition For Extension of Period For Response (1 page);
7. Fee Transmittal Sheet (1 page);
8. Check Number 925578 in the amount of \$1,382.00; and
9. Return Receipt Postcard.

In response to the statement that the drawings are not in pre-publication condition, the Applicant submits substitute drawings, FIGS. 1-32 (16 sheets) at this time.

Respectfully submitted,
DORSEY & WHITNEY LLP

Date: 9-5-2002

By: Jason R. Kraus
Jason R. Kraus
Reg. No. 42,765
Suite 1500
50 South Sixth Street
Minneapolis, MN 55402-1498
(612) 340-5617



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/099,930	03/13/2002	Michael Klardie	L15.2-10272

CONFIRMATION NO. 2702

490

VIDAS, ARRETT & STEINKRAUS, P.A.
6109 BLUE CIRCLE DRIVE
SUITE 2000
MINNETONKA, MN 55343-9185

FORMALITIES LETTER



OC000000008048294

Date Mailed: 05/08/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

09/11/2002 MBIZUNES 00000025 10099930

FILED UNDER 37 CFR 1.53(b)

01 FC:201	370.00 DP
02 FC:205	65.00 DP
03 FC:203	621.00 DP
04 FC:202	126.00 DP

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing. ✓
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - more than one figure is present and each figure is not labeled "Fig." with a consecutive Arabic numeral (1, 2, etc.) or an Arabic numeral and capital letter in the English alphabet (A, B, etc.)(see 37 CFR 1.84(u)(1));

Items Required To Avoid Processing Delays:

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid

20070909 091000

further processing delays.

- Additional claim fees of **\$1494** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$2364** for a Large Entity

- **\$740** Statutory basic filing fee.
- **\$130** Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is **\$1494**
 - **\$1242** for **69** total claims over 20.
 - **\$252** for **3** independent claims over 3.

*A copy of this notice **MUST** be returned with the reply.*

E - HAILU

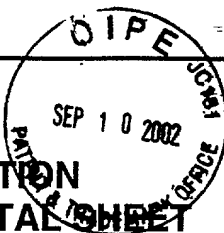
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

20070910 091002

**APPLICATION
FEE TRANSMITTAL SHEET
(FOR FY 2002)**

**Complete if Known**

Application No.	10/099,930
Filing Date	March 13, 2002
First Named Inventor	Michael R. Klardie
Group Art Unit	3732
Examiner Name	Not Yet Known
Atty. Docket Number	14484

METHOD OF PAYMENT (Check One)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account No.: 04-1420

Deposit Account Name: DORSEY & WHITNEY LLP

- ☒ Charge any additional fee required under 37 C.F.R. 1.16 and 1.17
☒ Applicant claims small entity status (see 37 C.F.R. 1.27)

2. ☒ Check Enclosed

FEE CALCULATION**1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
114	160	214	80	<input type="checkbox"/> Prov. Filing Fee
101	740	201	370	<input checked="" type="checkbox"/> Utility Filing Fee
106	330	206	165	<input type="checkbox"/> Design Filing Fee
108	740	208	370	<input type="checkbox"/> Reissue Filing Fee
Subtotal (1)				\$370.00

2. EXTRA CLAIM FEES

	Number Claims	Prior	Extra	Fee from Below*	Fee Paid
Total	89	-	20 = 69	x \$9.00	= \$621.00
Indep.	6	-	3 = 3	x \$42.00	= \$126.00
Multiple Dependent Claims				x	=
Subtotal (2)					\$747.00

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent Claim
109	84	209	42	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of 20 and over original patent

FEE CALCULATION (Continued)**3. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description	Fee paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
105	130	205	65	Surcharge - Late nonprovisional filing fee or oath	\$65.00
126	180	126	180	Submission of IDS	
581	40	81	40	Recording each patent assignment per property (times number of properties)	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	\$200.00
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	280	980	Extension for reply within fifth month	
146	740	246	370	Submission After Final 1.129	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
148	110	248	55	Terminal Disclaimer Fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
142	1,280	242	640	Utility/Reissue Issue Fee (including advance copies)	
143	460	243	230	Design Issue Fee (inc. advance copies)	
179	740	279	370	Request for Continued Examination (RCE)	
195	300	195	300	Publication fee for early, voluntary, or normal publication	
196	300	196	300	Publication fee for re-publication	
140	110	240	55	Petition to Revive - unavoidable	
141	1,280	241	640	Petition to Revive - unintentional	
OTHER FEE (specify)					
Subtotal (3)					\$265.00

Total Amount of Payment: \$1,382.00

Submitted by:

Name: Jason R. Kraus

Reg. No.: 42,765

Telephone: (612) 340-5617

Signature:

Date: 9-5-2002



COPY OF REFUSAL
ORIGIN/ LIT FILE

Docket: 14484

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



First Named Inventor: Michael R. Klardie
Appln. No. 10/099,930
Filing Date: March 13, 2002
Title: IMPRESSION CAP

Examiner: Not Yet Known
Group Art Unit: 3732

LETTER SUBMITTING SUBSTITUTE DRAWINGS

Box MISSING PARTS
Commissioner for Patents
Washington, D.C. 20231

I hereby certify that this document is being sent via First Class U. S. mail addressed to: Commissioner for Patents, Washington, D.C. 20231 on this 5 day of September, 2002.

KrisAnne Popovits

Dear Sir:

Please substitute the attached 16 pages of drawings, FIGS. 1-8, 8A, 9-24, 24A, 25-32, for the informal drawings, FIGS. 1-8, 8a, 9-24, 24a, 25-31, previously filed. The substitute drawings do not include an additional figure, but rather the figure on the last sheet of the drawings as-filed has been correctly renumbered as FIG. 32. This change is consistent with the description of the drawings in the application as originally filed.

Respectfully submitted,
DORSEY & WHITNEY LLP

Date: 9-5-2002

By:
Jason R. Kraus
Reg. No. 42,765
Suite 1500
50 South Sixth Street
Minneapolis, MN 55402-1498
(612) 340-5617

20070509 09:51:00